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⑮ An expandible cannula.

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## Description

The present invention relates to a surgical appliance and method of introducing instruments, scopes or tubing into body cavities or organs. The invention is applicable to the treatment of humans and will be so described herein-after, but it should be understood that with appropriate modifications, it could also be applied in veterinary practice. Whilst the apparatus can be used in a variety of surgical operations, one particular use is for accurately placing instruments, scopes or tubing deep within the body cavities or organs, for example under X-ray guidance. It also has significant advantages over currently available apparatus for introducing such devices into soft and highly vascular organs such as the liver or kidney. One form of the invention is also useful in forming and sustaining an adequately sized hole in tissue for brain surgery.

An increasing number of therapeutic and investigative procedures which at one time were impossible due to inaccessibility or required major surgery, are now being performed percutaneously via scopes, and various specially designed instruments are introduced under X-ray guidance. These procedures may be carried out under local anaesthesia and, after dilating up a passage through the tissues, these devices may be passed accurately to the target lesion. Some examples of such procedures include:-

1. The passage of scopes for observation and diagnosis.
2. Taking biopsies or removing diseased tissue via or under X-ray guidance.
3. The accurate implantation of prostheses into organs.
4. The accurate implantation of radioisotopes for the treatment of localised carcinoma.
5. The placement of drains into collections of fluid or pus in the abdominal or thoracic cavities.
6. The placement of catheters into the biliary or urogenital system for drainage.
7. The removal of foreign bodies or stones from organs.

Apart from the obvious advantage of avoiding the immobility associated with major surgery, patients who are unfit for such surgery may now be helped by the techniques described above. It is also of note that these methods are extremely cost effective as they save enormous expense of surgery and prolonged after care.

The problem which this invention is concerned with is in the creation and sustaining of a passage through the tissues, and along which scopes, instruments or tubes may be passed to the target lesion for these therapeutic or investigative procedures to be performed.

Taking as an example the insertion of a nephroscope into the urine collecting system of the kidney (the renal pelvis) for the extraction of a stone; the currently used method is to pass a fine needle through the skin of the patient's loin, and, under X-ray guidance, to direct it through the

kidney substance and into the renal pelvis (the depth of penetration being usually about 10 to 15 centimetres). To ensure that the needle has reached the correct position, radio opaque dye may be injected down the needle and its dispersal into the renal pelvis viewed. A guide wire (approximately 0.75 millimetres diameter) is then passed through the bore of the needle and the needle withdrawn, leaving the guide wire alone in situ. As the diameter of the nephroscope to be passed may be as much as 9 millimetres, a passage must then be dilated around the guide wire to accept it. Conventionally this is done by passing a dilator, which is a blunt-ended rod with a hole down its axis, of 2 to 3 millimetres diameter down the guide wire to the target site, then withdrawing it and repeating the procedure with a larger diameter dilator, and so on, until the required passage is created. Alternatively, the passage may be created by passing a small diameter dilator down the guide wire, then, leaving this in situ and after a period to allow some recovery of the damaged tissue, passing a closely fitting larger diameter tube over the guide wire to further dilate the tissues. Following that successively larger diameter tubes are passed over the preceding ones, until the desired degree of dilation has been achieved. Once the passage of required size has been created, the nephroscope is then passed into the renal pelvis and the kidney stone extracted through the bore of the scope. In some cases, a large stone may require crushing prior to extraction and this can be performed by instruments also passed down the scope.

There are a number of inherent problems with these currently used methods of dilating up a channel through the tissues. When the operator advances the types of dilator described above, the force exerted is of course in the direction of the axis of the dilator. However, the surgeon hopes to achieve displacement of the tissues in a direction substantially at right angles to the applied force, that is radially outwards from the axis of the dilator. The degree to which the applied force is converted to act in the desired direction depends on the size and shape of the dilator, a sharply pointed and gradually tapered dilator being the most efficient. The rod type dilators described above are blunt nosed for safety in order to avoid severing blood vessels. To ensure a uniform degree of dilation over the greater proportion of their inserted length, the rod type dilators expand rapidly from the tip of a fixed diameter. The greater proportion of the applied force thus acts to compress and thereby damage the tissues ahead of the dilator, or alternatively displace the organ away from the dilator as one attempts to insert it.

For tissue to be displaced radially outwards from the axis of the advancing-dilator without damaging it, the tissue must be able to stretch over the blunt tip of the dilator end and in so doing, must be capable of withstanding the considerable shearing forces imposed upon it. In the case of the kidney, and for that matter the liver, the tissue

has little resilience and although it can be compressed to some extent, it will withstand little if any stretch and is easily disrupted by shearing forces. The result of passing a rod type dilator into this friable tissue is that the dilator first compresses the tissues ahead of itself and then, because the tissue is incapable of stretching over the blunt nose of the dilator, the tissue is pulverised by the sheering and compressive forces exerted. A hole is thus created in the organ by tissue destruction and the pulverised core is compressed at the distal end of the dilator or squeezed around its circumference.

When dilating up a passage through the kidney substance and into the renal pelvis for stone extraction, an additional problem is that the pulverised renal tissue is pushed into the renal pelvis. This debris and blood clots may obstruct the flow of urine from the renal pelvis into the ureter; it is therefore necessary, using the currently available techniques, to leave a drainage tube in the kidney after stone extraction. This "nephrostomy tube" is left in situ for 3 to 4 days after the operation, and acts as a safety valve allowing urine to escape from the renal pelvis prior to clearance of the obstruction.

As is apparent from the above description, the kidney undergoes a considerable amount of trauma during the procedure. Not only is a portion of it destroyed, but the tissue around the hole creates swelling in reaction to the injury and raw edges of the damaged tissues bleed profusely. Blood loss can be considerable, and has been the cause of several fatalities.

In the method of tissue dilation whereby a dilator is introduced, then withdrawn and replaced with dilators of successively larger diameter, further problems are encountered. Firstly, upon withdrawal of a dilator, the raw surface bleeds and the surrounding tissue swells, partly occluding the hole created. On insertion of the successively larger dilator the oedematous tissue is sheered off causing further trauma and disrupting any clots which may have formed. Thus, more vessels are damaged encouraging greater haemorrhage. Secondly, as one dilator is withdrawn, leaving the guide wire alone in situ, the guide wire then lies in a wider passage and is apt to change its position. The subsequent dilation may thus be off centre, creating a larger volume of damage than is necessary.

Dilation with the system of interlocking tubes causes an equivalent degree of tissue destruction to the system of successively larger rod dilators, because as each tube is advanced over the preceding one, it shears off more tissue. The advantage with this system however is that the continual pressure between the dilator and the surrounding tissue reduces the amount of haemorrhage during dilation.

With the foregoing factors in mind, a primary object of the present invention is to provide a means for introducing tubes, scopes or other instruments into body cavities or organs percutaneously, which avoids the drawbacks and difficulties referred to above.

In brain surgery, it is required to form and sustain a relatively large hole in the tissue (e.g. 25 millimetres in diameter) to permit the required investigation and surgical techniques. A problem which has in the main prevented the use of expansible devices for dilating up the tissue is that it is vital to reduce damage to the tissue.

In the specification of DE-B-2515756 there is disclosed a vaginal speculum, which comprises a sheet metal device which can be coiled on itself to form a sleeve or open tube of slightly conical form. In the coiled condition, side margins of the sheet overlap each other and there is a hook arrangement for securing the overlapping margins together to maintain the tube form. However, the device is inherently spring-loaded towards an open flat sheet condition and once the hook is released, it will tend to open out from the tubular form. In use, the device is compressed and the hook engaged; it is then possible to insert the device with the smaller end leading, into the vagina of a patient. The device then has to be squeezed to disconnect the hook, whereupon, it expands under its own inherent resilience to open up the vagina for inspection.

The usefulness of the device depends upon the spring-loading towards the flat open condition and it is a disadvantage that control of the opening to avoid discomfort for the patient relies upon the user gripping the part of the device outside the vagina. Even then, the opening effect may be traumatic, the device could not be used in an incision, because of the difficulty of controlling it and the danger that it might tear the skin of the patient.

In the specification of US-A-3 789 852, there is described an expansible trocar and in one embodiment, it comprises a series of three arcuate leaves, which overlap each other in the circumferential direction to form a tube, which, except for a very short tapered section at the distal end, is cylindrical. However, there is provision for moving the leaves relatively to each other in a circumferential direction, to cause the tube to change in diameter.

Control of the expansion of the trocar is difficult, since it involves the manipulation of two or sometimes three hand held knobs, in practice therefore, after the device has been inserted through a preformed hole, it must be opened first, before anything can be pushed through it. Furthermore, with the construction described, the distal end must be of substantial diameter even in the closed condition and therefore a hole of that size must be preformed through the patient's skin.

The invention provides a combination of an expansible tube for insertion into a body cavity and an expander tube, the expansible tube comprising a conical or part conical tubular stem formed of thin sheet material at least partially coiled on itself so that in the free condition it forms a conical configuration into which it is biased by the resilience of the material, and wherein the expander tube is dimensioned so that it can be inserted into the larger diameter end of the expansible tube, thereby opening the expansible

tube from the free condition, reducing the cone angle and increasing the diameter of the other end.

The free condition is the condition which the stem will adopt if no extraneous forces are applied to it.

It is preferred that the stem is made in sheet metal which is heat treated after coiling, to give it the required resilience. The stem may be made of beryllium copper.

According to another preferred feature, the larger diameter end of the stem is clamped by fitting two tubular elements one inside and one outside the larger diameter end of the coiled stem and securing the two tubular elements together. In the preferred arrangement, the tubular element which fits into the end of the stem has a collar engaging with the outer face of a flange on the end of the stem and the tubular element which fits over the stem engages with the opposite face of the flange, there being a nut engaging with the outer end of the collar, and having screw-threaded engagement with the tubular element which fits over the stem.

The tube in accordance with the invention may be combined with a guide rod adapted to pass through the bore of the stem at the said other end and bored throughout its length to receive a guide wire, intended to extend through the skin of a patient to the site of the lesion and is characterised in that the bore of the stem of the tube at its said other end, in the free condition, is a close fit around the guide rod, being formed with a slightly enlarged distal end and the arrangement of the stem being such that passage of the enlarged distal end of the guide rod through the said other end of the stem causes a slight uncoiling of the said other end to allow passage of the enlarged ends, the said other end of the stem then contracting under its inherent resilience, on to the main portion of the guide rod behind the enlarged end, so that the enlarged end is located against the said other end of the stem. It will be appreciated that, with such a guide rod inserted into the stem, the tube then comprises the stem and the guide rod. It is possible to introduce the tube through the skin of a patient, and as the stem of the tube follows the enlarged head of the guide rod, it gradually dilates the opening formed through the skin and any other tissue or organ through which the tube is passed. In this mode of use, the combined tube and guide rod function as a trocar.

Preferably the cone angle of the stem does not exceed 10° and in the preferred construction it is approximately 1.7°. With a small cone angle, the dilation of the skin or tissue on introduction of the trocar stem produces a minimum damage to the skin or tissue.

When the expander tube is forced through the bore of the stem from the larger diameter end the stem will expand by uncoiling, the uncoiling action being greatest at the said other end, until the stem provides a substantially parallel-sided bore through which a blunt instrument such as a

nephroscope can pass. Again the dilation of the skin and tissue which occurs due to this expansion of the stem is achieved with a minimum amount of damage to the skin and tissue, because the conical form of the stem provides an ideal means of converting the longitudinal motion of the expander tube into a radial expansion of the stem. Moreover, the dilation occurs smoothly and without any severe axial compression or shearing of the tissue.

According to one form of the invention the stem is formed by a plurality of thin sheet material leaves each of arcuate cross-section, each leaf being overlapped with the adjacent leaf or leaves so that, together, the leaves form a tube which can be increased in diameter by moving the leaves to reduce the overlap between them. Preferably each leaf has one longitudinal margin on the inside of an adjacent leaf and the other longitudinal margin on the outside of the other adjacent leaf. In the preferred construction, there are three leaves each of which has one longitudinal edge inside the leaf on its anticlockwise side, and its other longitudinal leaf outside the leaf on the clockwise side.

Preferably the three leaves taper so that in the assembled condition they form the conical or frusto-conical stem, which tapers towards the said other end, so that when the stem is opened by inserting the expander tube into the larger diameter end, the ends of the leaves at the larger diameter end open to a lesser extent than the other ends of the leaves (i.e. there is a greater reduction in the overlapping at the said other end than at the larger diameter end).

The construction of three forms of cannula each in accordance with the invention and their method of use, will now be described by way of example only, with reference to the accompany drawings, in which:-

Figure 1 shows a blank used in the manufacture of a cannula stem forming part of a combination of an expander tube and an expander tube according to the invention;

Figure 2 shows the blank of Figure 1 after a folding operation;

Figure 3 shows an initial stage in a coiling operation of the blank of Figure 1;

Figure 4 shows a cannula stem of the combination of the invention, formed by the coiling operation;

Figures 5A to 5D show cross-sections through the stem of the combination of the invention at various stages in a turning inside-out operation;

Figure 6 is a longitudinal section through a completed cannula with a guide rod fitted;

Figure 7 is a detail of part of the cannula shown in Figure 6 to a larger scale;

Figure 8 is a detail of the distal end of the cannula during its expansion to receive a guide rod;

Figure 9 is a view similar to Figure 8, but showing the position at the end of fitting the guide rod;

Figure 10 is an elevation partly in section of the

cannula stem shown in Figure 6 during the insertion of a nephroscope;

Figure 11 shows an initial stage in the use of the cannula in an operation to remove a stone from the renal pelvis of a kidney;

Figure 12 is a longitudinal section through an alternative construction of cannula with a built-on opening mechanism;

Figure 13 is a longitudinal section through an alternative construction of cannula particularly useful in brain surgery, the bottom half of the view showing the closed condition and the top half the fully opening condition; and

Figure 14 is an end view on the proximal end of a stem shown in Figure 13.

The principal element of the cannula shown in Figures 1 to 11, is a stem 10 (see Figures 4, 6 and 10) which is made by coiling a blank 12 (Figures 1 and 2) stamped from a thin sheet of beryllium copper. Beryllium copper is chosen, because it will not corrode, it is not significantly reactive to body acids, it is very ductile, and it responds to heat treatment at relatively low temperatures. The sheet material from which the blank 10 is formed is of the order of 50 microns (0.002 inches) thick, so that it has a leaf-like nature. The blank has a pair of tongues 18 and 20, and score lines 14 and 16 are formed in it, which divide the blank into a main, substantially trapezoidal portion 22, a triangular reinforcing portion 24 and the tongues 18 and 20. In addition, there are two parallel score lines 25 close to the longitudinal edge of the main portion 22.

It is desirable to reinforce part of the finished stem 10, and this is achieved by folding the blank on the score line 14 so that the triangular portion 24 lies against the underside of the main portion 22. A double fold is formed by folding over the margin of the main portion using the score lines 25. The folded blank may be rolled to press the folded over portions flat against the main portion. Also as a result of this folding, the two tabs 18 and 20 are brought face-to-face. The folding of the blank therefore produces a substantially triangular part of the blank which is of double the thickness of the remainder of the blank and will be referred to as the reinforced portion, in addition to which the longitudinal edge of the main portion 22 has a reinforcing seam.

To form the tube-like stem 10, the blank 12 is then coiled on itself. Prior to coiling, the face-to-face tabs 18, 20 are folded over on the score line 16, onto the main portion 20. Then slits 28 (Figure 3) are cut to a depth as indicated by a marker line 17 (Figures 1, 2).

Coiling begins, as shown in Figure 3, by turning over the reinforced triangular portion of the blank — see the turned over part 26. The coiling action is controlled so that the edge of the reinforced triangular portion (which at this stage will be on the inside) is maintained substantially straight. When the coiling is completed, this straight edge will then be parallel with the longitudinal axis of the stem. The folded over portion 24 is on the inside of the coil, and coiling is continued until the

conical tubular stem 10 is formed — see Figure 4. The outer edge — which is the seamed edge — follows a helical path with the helix angle increasing towards the proximal end of the stem. The series of short slits 28 allows the tabs 18, 20 to be bent outwardly to form a flange 30 at the end of the coiling operation. It will be observed that the stem 10 has a small distal end 32, the bore of which is about 2.5 millimetres in diameter and that the cone angle is small, so that even at the proximal end, the bore of the stem is only about 10 millimetres diameter.

The stem 10 is then subjected to heat treatment, which may be at a temperature of approximately 300°C to give the stem an appreciable resilience (spring effect). To avoid discolouring the stem the heat treatment may be carried out in a protective atmosphere. It is desirable that the finished stem should have a polished surface and to this end — and with a view to alleviating any toxic effect of the material from which the stem is made — the stem may be plated.

As a result of the coiling action, the reinforced triangular portion of the stem is on the inside. However, the coil is then turned inside-out to bring that reinforcing to the outside, the process for doing this being illustrated in Figure 5. Starting at the distal end, the coil is first opened out, Figures 5A and 5B, then the seamed edge 34 is bent under the opened out reinforced edge — Figure 5C — and the coil allowed to close using its spring effect, with the reinforced triangular portion on the outside — Figure 5D. This action is transferred along the length of the stem towards the proximal end, until the entire stem is turned inside-out.

A short bush 38 (see Figures 6 and 7) is pushed into the proximal end of the stem, there being a collar 40 on the bush which engages with the flange 30 — leaving a short projection of the bush beyond the flange 30. A rigid plastics tube 42 is a loose fit over the part of the stem which contains the bush 38, and at one end, this tube 42 has an external screw-thread 44. The screw-threaded end of the tube 42 engages with the opposite face of the flange 30 to the collar 40. The stem part of the trocar is completed by a retaining nut 46 which passes over the collar 40 and has a screw-threaded engagement with the tube 42. When the nut is tightened, it draws the tube 42 towards the collar 40, nipping the flange 30 and securing the flange axially on to the bush 38 and the tube 42. The stem only retains its closely coiled form due to its inherent resilience and there is sufficient radial clearance between the outside of the stem and the inside of the tube 42 to permit the inner convolution of the stem to turn about the longitudinal axis of the stem end to move axially.

It should be mentioned, that the design of the blank and the coiling of the stem is such, that in the closed condition, the outer longitudinal edge of the reinforced portion of the stem extends in a substantially longitudinal straight line. However, the inner edge of the stem will have a helical and conical form. The cannula stem is then complete.

Referring now to Figure 6, there is illustrated a guide rod 50, which comprises a handle 52; a boss 54 projecting from the handle and having an outside diameter such that it is a sliding fit in the bush 38, a rod-like stem 56 has a diameter of approximately 3 millimeters, and tapers slightly towards its distal end. The enlarged distal end 58 is conical, and its larger end has a diameter of about 3 millimeters. The rod-like stem 56 extends through the boss 54 of that handle 52, and the stem is bored throughout its length, to accept a wire.

When the guide rod 50 is to be used, its stem 56 is pushed through the bore of the conical cannula stem 10, and towards the end of this movement, the boss 54 slides in the bush 38 which serve to locate the stem 56 centrally within the stem 10. Near to the end of the forward movement of the guide rod, the enlarged head 58 passes out through the distal end of the stem 10. In doing so, it will slightly enlarge the distal end of the stem 10 (this being permitted by the resilience of that stem) as shown in Figure 8, until the whole of the head 58 is outside the stem 10, whereupon the distal end of the stem 10 will contract behind the enlarged head 58 as shown in Figure 9. At this stage, the handle 52 will be in engagement with the nut 46 as illustrated in Figure 6. The stem 10 then provides a smooth continuation of the cone formed by the enlarged head 58 on the distal end of the guide rod 50. The complete cannula with the inserted guide rod 50 forms a trocar which is then ready for use.

Turning to Figure 10, there is illustrated a nephroscope 60 being used in conjunction with the cannula. Nephoscopes are in themselves known instruments, and essentially comprise a parallel-sided metal tube 62 with an extension which is adapted to receive an optical system and other items (not shown) whereby the surgeon is able to see through the tube 62, to the site of the lesion.

When the nephroscope 60 is to be used, the tubular portion 62 is introduced through the bush 38, in which it is a sliding fit, and then it is pushed forwardly relatively to the stem 10. It will be appreciated that the tubular member 42 and the nut 46 provide a means whereby the stem 10 can be held in one hand, whilst the nephroscope is gripped in the other hand. As the blunt front end of the tubular portion 62 of the nephroscope advances along the inside of the stem 10, it engages with the bore of that stem, and causes the stem to expand radially outwards, as permitted by uncoiling of the stem. Eventually, the position is arrived at as illustrated in Figure 10, where the tubular portion 62 fully opens the coiled stem 10, so that for most of its length the latter then forms a substantially parallel-sided tube, closely embracing the outside of the tubular portion 62. In practice, when the nephroscope is fully positioned in the cannula, with a boss 61 engaged with the nut 46, the distal end of the nephroscope will project very slightly from the distal end of the stem. In this condition the

instrument functions as a cannula rather than a trocar.

A method of using the apparatus so far described, during an operation for the removal of a kidney stone from the renal pelvis will now be described by way of example only of a use of the invention.

A fine needle, with a sharpened point (not shown) of a type used for the introduction of a guide wire through the tissue of a patient, is first passed through the skin 72 of the patient's loin (see Figure 11), then through the fatty tissue 74 and into the renal pelvis under X-ray guidance. To ensure that the needle has reached the correct position, radio opaque dye may be injected down the needle and its dispersal into the renal pelvis viewed. A special guide wire 78 of the kind used for percutaneous surgery, and about 0.7mm diameter is then threaded through the bore of the needle, until the front end of this wire arrives in the renal pelvis. The special wire 78 has a wire core around which is closely wound a fine wire covering. The core wire is pulled, to cause the front end of the wire to coil on itself as shown at 70 in Figure 11, thereby locating and anchoring the front end of the wire in the renal pelvis. The needle itself is then withdrawn from the patient over the guide wire 78, leaving that wire in position. The method of inserting the guide wire which has been described so far is part of the known technique for the removal of a stone from the renal pelvis and no further description of this part of the operation is necessary.

At that stage, the guide rod 50 shown in Figure 6, which has a somewhat larger diameter than the special needle through which the guide wire is inserted, is introduced into the cannula stem 10 until it occupies the fully inserted position illustrated in Figure 6. It will be recalled that, in this position, the stem 10 of the trocar remains in the fully closed condition, and the tapering outside of the stem 10 forms in effect a continuation of the tapering enlarged end 58 of the guide rod 50.

The proximal end of the guide wire 78 is threaded through the guide rod 50 from the distal end thereof and out through the handle 52. The trocar comprising the stem 10 and the inserted guide rod 50, is then advanced along the guide wire 78, through the small incision in the skin 72 made by the special guide wire needle, through the fatty tissue 74, and into the renal pelvis. However, it will be appreciated, that because of the small cone angle of the stem 10 of the trocar, the dilation of the incision and openings through the patient's tissue brought about by the insertion of the trocar is facilitated, and will not normally result in any severe damage to the tissue, or severe internal haemorrhage.

At that point, the guide rod 50 is retracted from the trocar, drawing the guide rod 50 over the guide wire 78. This leaves the trocar stem in situ passing through the skin of the patient and into the renal pelvis.

The tubular portion 62 of the nephroscope 60 is then introduced through the bush 38 of the trocar,

and the nephroscope is pushed inwardly. It will be appreciated, that the tubular portion 42 and the nut 46 provide an effective handle for the trocar which can be gripped by the person performing the operation in one hand, whilst the extension 64 of the nephroscope can be gripped in the other hand. Moreover, the bush 38 provides guidance for the forward sliding motion of the tubular portion 62, to keep that portion centrally located within the stem 10 of the trocar.

As the tubular portion advances, it engages with the inside surface of the stem 10. During further advancing motion of the tubular portion 62, the stem 10 is caused to expand radially outwards, by uncoiling, until eventually, when the nephroscope is fully inserted the stem 10 forms a substantially parallel-sided tube closely embracing the tubular portion 62 of the nephroscope.

The radial expansion of the trocar stem is achieved in response to longitudinal motion of the tubular portion 62, and again, because of the small cone angle of the stem 10, the resulting dilation of the tissue is achieved with relatively small damage to the tissue, end certainly without any danger of cutting blood vessels.

Once the distal end of the nephroscope arrives in the renal pelvis, it is then possible to extract the stone via the nephroscope using known techniques, and at this stage the instrument is functioning as a cannula. Finally, the nephroscope end and the expandable cannula are withdrawn together. If required, a nephrostomy tube may be passed down the nephroscope prior to its withdrawal, and the tube left in situ for drainage purposes.

After withdrawal of the expandable cannula, the tissue which has been compressed on dilation of the opening re-expands and swells, end since no tissue has been removed, the dilated passage through the tissue becomes occluded, thereby preventing excessive haemorrhage and allowing the tissue to recover with its structures intact.

Besides using the expandable cannula for introducing nephroscopes into the kidney, there are numerous other possible uses for the device. It will be understood, that the cannula may be made in any desired dimensions, according to the use to which it is intended to be put.

Some examples of possible alternative uses of the cannula — when appropriately modified — are:-

1. The insertion of pleuroscopes and mediastinoscopes into the chest for diagnosis, biopsy and other treatments.
2. Insertion of chest drains for the treatment of pneumothorax or the drainage of pus.
3. Insertion of laparoscopes into the abdomen for diagnosis, biopsy and other treatment.
4. Insertion of drains into intra abdominal abscesses, cysts or fluid collections e.g. hepatic, sub diaphragmatic retroperitoneal, perinephric and pelvic collections.
5. Insertion of peritoneal dialysis catheters or nephrostomy tubes.
6. Insertion of suprapubic cystoscopes for the treatment of bladder tumours, prostatectomy and

stone removal. (Suprapubic insertion of scopes into the bladder prevents trauma to, and subsequent stricture of the urethra. It also enables larger diameter scopes and instruments to be passed.) Suprapubic catheters may also be passed easily with the cannula.

7. The expandable cannula may be used to pass a bone biopsy instrument through overlying tissues and into the bone surface.

8. If the tip of the guide rod 50 within the cannula is blunt-ended, the device may be used as a dilator of bodily tubes or openings.

In the cannula described with references to Figures 1 to 11 of the drawings the expandable stem 10 is conical throughout its length. It is to be understood however that the stem may be conical through only part of its length, although if the stem is made as a single component the conical portion must be of substantial length in order to ensure that on the one hand a sufficient dilation effect is obtained using the one instrument and on the other hand that the cone angle is kept small — and preferably not more than 10°.

Further in the specific embodiment described above the nephroscope 60 is employed to expand the stem 10 of the cannula, but it is to be understood that any implement which is thick enough to cause opening of the coils of the stem could be inserted. In one respect therefore the invention comprises the combination of a cannula having the essential features of the cannula herein described with an opening device which can be pushed axially into the stem and will cause opening of the stem to increase its diameter.

Referring now to Figure 12, there is illustrated a cannula, the expandable part of which is virtually identical with that described with reference to Figures 1 to 11. In particular, the cannula illustrated in Figure 12 has an expandable conical stem 110, which is identical with that described with reference to Figures 1 to 5 of the drawings, end which at its proximal end is clamped between a collar 140 on a bush 138 and one end of a tube 142. Moreover, the tube 142 has an external screw thread, which is engaged by the internal screw thread of a retaining nut 146, which completes the joint between the bush 138 and the tube 142 nipping the flange formed at the proximal end of the stem 110 as described with reference to Figures 1 to 11.

There are some minor differences in the construction. For instance, the bore of the tube 142 is relieved, along most of its length, so that it only engages with the outside of the stem 110 near to the flange formed on the proximal end of the stem, and at the distal end of the tube 142 itself. Moreover, the arrangement is such, that even in the fully open position of the stem, which is illustrated in chain dotted lines in Figure 12, the stem is still very slightly tapered towards the distal end.

Another slight difference in the construction is that the retaining nut 146 is formed with a short extension 152, which is screw-threaded externally.

A finely bored guide rod 150 is provided, and this guide rod is in itself identical with the guide rod 50 illustrated in Figure 6, and in particular, it has the frusto-conical distal end 158. However, the guide rod 150 is considerably longer than the guide rod 50, because as will hereinafter appear, the total length of the cannula through which the guide rod has to pass is appreciably longer than that illustrated in Figure 6.

A cylindrical sleeve 162 made of transparent or translucent plastics material is provided as part of a mechanism for the introduction of an expander tube 163, and the length of the sleeve 162 is determined by the length of movement of the expander tube necessary to cause movement of the stem 110 from the fully closed to the fully open condition as described with reference to the construction illustrated in Figures 1 to 11.

Internally, the sleeve 162 is screw-threaded at 164 throughout practically the whole of its length, and at its distal end, the sleeve is open, and the screw thread 164 can be engaged on the screw-threaded extension 152 of the retaining nut 146. Thus, the sleeve 162 can be assembled on to the nut 146, and in this assembled condition, it provides part of the complete cannula.

As illustrated in Figure 12, the proximal end of the sleeve 162 is partially closed by an end cap 166, but this end cap is bored to receive a boss 154 formed on the proximal end of a longitudinally extending actuation member 168. The main section of the actuation member 168 is of square cross-section, and there is a collar 156 between the boss 154 and the square cross-section main portion of the actuation member. As illustrated in Figure 12, when the apparatus is assembled, the collar 156 engages with the inside face of the end cap 166. On the outwardly projecting portion of the boss 154 there is a finger grip device 158, which is secured by a grub screw 160.

A traversing nut 170 is screw-threaded externally to engage in the internal screw threads 164 in the sleeve 162, and internally is formed with a square bore 172 which is a sliding fit on the main portion of the actuation member 168. Now if the finger grip 158 is turned by hand, this turns the actuation member 168, and because of the square cross-section of the actuation member and the bore of the actuation nut, the latter is also turned. Turning the nut 170 causes it to travel along the inside of the sleeve 162 because of its screw-threaded engagement with that sleeve, but the actuation member 168 cannot move longitudinally (since the end cap 166 is located between the finger grip member 158 and the collar 156) and therefore the actuation nut 170 slides on the main portion of the actuation member 168. This therefore provides a means whereby the nut 170 can be caused to travel longitudinally along the inside of the sleeve 162 by rotation of the finger grip member 158. It will be appreciated however, that there is a considerable mechanical advantage provided by the screw method of operation, so that an appreci-

able force can be applied through the nut 170 by turning the finger grip member 158.

The expander tube 163 is threaded through the bore of the bush 138, and is a clearance fit around the outside of the actuation member 168. Its proximal end is pressed into engagement with the nut 170.

To operate the cannula, after the guide rod 150 has been inserted through the bore of the actuation member 168 and through the stem 110, rotation of the actuation member causes the nut 170 to travel towards the distal end of the sleeve 162, and in so doing, it pushes the expander tube 163 towards the distal end of the stem 110, expanding the stem until it reaches the fully open condition illustrated in chain-dotted lines in Figure 12.

It will be appreciated therefore, that the cannula illustrated in Figure 12 operates in generally the same manner as that described with reference to Figures 1 to 11, except for the provision of the screw-and-nut method of forcing the nephroscope through the expandable stem of the cannula.

After the expander tube 163 has been fully inserted, the sleeve 162 is unscrewed from the retaining nut 146 and withdrawn. This provides easy access to the proximal end of the tube 163 for the insertion of a nephroscope or other instrument. One of the advantages of the construction shown in Figure 12 is that it allows the guide wire to be left *in situ* whilst the expander tube is fitted and even after the expander tube and any instrument fitted in it is withdrawn. The facility for leaving the wire *in situ* arises from the fact that the wire passes through the bore of the actuation member 168, and the fact that manual pressure is not applied to the end of the expander tube.

The third embodiment of the invention is shown in Figures 13 and 14, and the apparatus illustrated provides a cannula which is particularly useful in brain surgery, for example in the removal of tumours from the brain. The essential features of this apparatus are a mounting tube 200 and an expandable stem 202.

The mounting tube 200 is a substantial metal tube (for instance it may have an outside diameter of 45 millimetres) and it is designed to be mounted on a support ring (not shown) of known construction, which is clamped to the skull of a patient to provide location for various investigatory and surgical items. A bush 204 has a portion 206 which is frusto-conical, and a collar 208 which is nipped between the proximal end of the mounting tube 200 and a shoulder 210 in a retaining nut 212 which engages on a screw thread 214 formed on the tube 200. The arrangement of the bush 204 within the tube 200 is very similar to the arrangement of the bush 38 in the tube 42 as previously described.

The stem 202 is however formed from three separate metal foil leaves 220, 222 and 224 (see Figure 14). Each of the leaves 220, 222 and 224 is formed in beryllium copper and the method of

formation to produce a seam along one edge, a doubled over reinforced portion, and a serrated double tab 226 are similar to that described with reference to Figures 1 to 5. Also the leaves are coiled and heat treated to give the spring effect. However, the leaves are relatively short — in a specific example approximately 160 millimeters long. The three leaves 220, 222 and 224 are assembled as shown in Figure 14, so that each subtends more than 120° around the longitudinal axis of the stem even at the large proximal end, and each leaf has its tapering, seamed, edge inside the reinforced part of the leaf which is adjacent to it in the anticlockwise direction as seen in Figure 14. Thus, each leaf engages over one adjacent leaf and under another adjacent leaf and together they subtend a full circle. The three tabs 226 are trapped between the tube 200 and the collar 206 on the bush 204 in similar manner to the tab on the single leaf stem shown in Figures 1 to 11, and in the free condition, the distal ends of the leaves close to a small diameter shown at 230 in Figure 13 in fact, the distal ends are preferably turned inwards as shown at 230 to form a bull nose on the stem 202.

When apparatus illustrated in Figures 13 and 14 is in use, it is of course supported by being attached to the support ring, and it is therefore held quite rigidly. The stem 202, which will be in the closed condition illustrated in the lower half of Figure 13, is pointed directly at the seat of the operation, and the distal end is introduced through an aperture previously made in the scull. At that stage, it is necessary to expand the stem 202, and for this purpose an expansion tube 232, only part of which is illustrated in Figure 13, is introduced by sliding it through the bore of the bush 204. As the expansion tube 232 moves towards the distal end of the stem 202, it engages with the inside faces of the three leaves of the stem, and expansion is carried out, by causing the leaves to slide over each other into the fully opened condition, where they form a conical tube having a much smaller cone angle than that in the closed condition, so that a relatively large diameter opening 234 is formed at the distal end of the tube. In a specific embodiment, the opening may be 30 millimeters in diameter. This is adequate to allow instruments to be passed through the bore of the expansion tube 232 to the seat of the operation, and the expanded stem 202 holds the surrounding tissue away from the seat of the operation.

When the operation is completed, the expansion tube 232 is retracted, and this allows the stem 202 to contract into the closed condition. The opening and closing action of the three leaves of the stem 202 in some ways resembles the opening and closing action of a set of petals of a flower, although besides the radially outward motion, there is also an element of circumferential motion which increases towards the distal end of the stem.

5 A rubber ring 234 is a tight fit around the stem 202 adjacent to the distal end of the support tube 200.

This ring assists in maintaining the circular configuration of the stem when the latter is fully opened.

10 It is to be understood, that various alternatives are possible. For instance, the stem 202 could comprise only two foil-like leaves, or in some instances, it could comprise more than three leaves.

### Claims

15 1. A combination of an expandible tube (10, 110, 202) for insertion into a body cavity and an expander tube (163), the expandible tube comprising a conical or part conical tubular stem (10, 110, 202) formed of thin sheet material at least partially coiled on itself so that in the free condition it forms a conical configuration into which it is biased by the resilience of the material, and wherein the expander tube (163) is dimensioned so that it can be inserted into the larger diameter end of the expandible tube (10, 110, 202), thereby opening the expandible tube from the free condition, reducing the cone angle and increasing the diameter of the other end.

20 2. A combination as claimed in Claim 1, characterised in that the stem is made in sheet metal which is heat treated after coiling, to give it the required resilience.

25 3. A combination as claimed in Claim 2, characterised in that the stem is made in beryllium copper.

30 4. A combination as claimed in any one of Claims 1 to 3, characterised in that the larger diameter end of the stem is clamped by fitting two tubular elements (38, 42; 138, 142; 200, 204) one inside and one outside the larger diameter end of the coiled stem and securing the two tubular elements together.

35 5. A combination as claimed in Claim 4, characterised in that the tubular element which fits into the end of the stem has a collar (40; 140; 206) engaging with the outer face of a flange (18; 20; 226) on the end of the stem and the tubular element which fits over the stem engages with the opposite face of the flange, there being a nut (46, 146, 212) engaging with the outer end of the collar, and having screw-threaded engagement with the tubular element which fits over the stem.

40 6. A combination as claimed in any one of Claims 1 to 5, characterised in that the cone angle of the stem does not exceed 10°.

45 7. A combination as claimed in any one of Claims 1 to 6, in combination with the guide rod (50, 150) adapted to pass through the bore of the stem at the said other end of the stem and bored throughout its length to receive a guide wire intended to extend through the skin of a patient to the site of the lesion, characterised in that the bore of the stem of the tube at its said other end, in the free condition, is a close fit

around the guide rod (50, 150) the guide rod being formed with a slightly enlarged distal end (58, 158) and the arrangement of the stem being such that passage of the enlarged distal end of the guide rod through the said other end of the stem causes a slight uncoiling of the said other end to allow passage of the enlarged end (58, 158) the said other end of the stem then contracting under its inherent resilience, on to the main portion of the guide rod behind the enlarged end, so that the enlarged end is located against the said other end of the stem.

8. A combination as claimed in any one of Claims 1 to 7, characterised in that the stem (202) is formed by a plurality of thin sheet material leaves (220, 222, 224) each of arcuate cross-section, each leaf being overlapped with the adjacent leaf or leaves so that together, the leaves form a tube which can be increased in diameter by moving the leaves to reduce the overlap between them.

9. A combination as claimed in Claim 8, characterised in that each leaf has one longitudinal margin on the inside of an adjacent lead and the other longitudinal margin on the outside of the or another adjacent leaf.

10. A combination as claimed in Claim 9, characterised in that the leaves taper so that in the assembled condition they form the conical or frustoconical stem, which tapers towards the said other end, so that when the stem is opened by inserting the expander tube into the larger diameter end, ends of the leaves at the larger diameter end open to a lesser extent than the other ends of the leaves.

#### Patentansprüche

1. Kombination aus einer dehnbaren Kanüle (10, 110, 202) zum Einführen in einer Körperhöhlung, und aus einer Dehnungshülse (163), wobei die dehbare Kanüle einen konischen oder teilkonischen hülsenförmigen Schaft (10, 110, 202) aus dünnem Blech aufweist und wenigstens teilweise selbst gewickelt ist, so daß er in freiem Zustand eine konische Gestalt aufweist, in welche er durch die Elastizität des Materials verbracht, und wobei die Dehnungshülse (163) derart bemessen ist, daß sie in die dehbare Kanüle (10, 110, 202) an deren größerem Ende eingefügt werden kann, wobei die Dehnungshülse aus dem freien Zustand geöffnet, der Konuswinkel verengt und der Durchmesser des anderen Endes vergrößert wird.

2. Kombination nach Anspruch 1, dadurch gekennzeichnet, daß der Schaft aus einem Metallblech hergestellt ist, das nach dem Wickeln wärmebehandelt ist, um ihm die erforderliche Elastizität zu verleihen.

3. Kombination nach Anspruch 2, dadurch gekennzeichnet, daß der Schaft aus Berylliumkupfer besteht.

4. Kombination nach einem der Ansprüche 1 bis 3, dadurch gekennzeichnet, daß das größere Ende des Schafes dadurch eingespannt ist, daß zwei

hülsenförmige Elemente (38, 42; 138, 142; 200, 204), nämlich eine auf der Innenseite und eine auf der Außenseite des großen Endes des gewickelten Schafes angebracht sind, die die hülsenförmigen Elemente miteinander verbinden.

5. Kombination nach Anspruch 4, dadurch gekennzeichnet, daß das hülsenförmige Element, das in das Ende des Schafes paßt, einen Bund (40; 140; 206) aufweist, der am Ende des Schafes an der Außenseite eines Flansches (18; 20; 226) anliegt, und daß das hülsenförmige Element, das über den Schaft geschoben, an der gegenüberliegenden Fläche des Flansches anliegt, wobei eine Mutter (46, 146, 212) mit dem Außenende des Bundes zusammenarbeitet und mit dem hülsenförmigen Element, das auf dem Schaft aufgeschoben ist, verschraubt ist.

6. Kombination nach einem der Ansprüche 1 bis 5, dadurch gekennzeichnet, daß der Konuswinkel des Schafes  $10^\circ$  nicht übersteigt.

7. Kombination nach einem der Ansprüche 1 bis 6, in Kombination mit einer Führungsstange (50, 150) die durch die Bohrung des Schafes am anderen Ende des Schafes hindurchgeführt und auf ihre gesamte Länge durchbohrt ist, um einen Führungsdraht aufzunehmen, der sich durch die Haut des Patienten bis zur Wunde hin erstreckt, dadurch gekennzeichnet, daß die Bohrung des Schafes der Hülse an dessen anderen Ende in freiem Zustand mit der Führungsstange (50, 150) einen dichten Sitz bildet, daß die Führungsstange ein geringfügig erweitertes fernes Ende (58, 158) aufweist, daß die Anordnung des Schafes darunter getroffen ist, daß das Hindurchführen des erweiterten fernen Endes der Führungsstange durch das andere Ende des Schafes ein geringfügiges Entwickeln des anderen Endes verursacht, um den Durchgang des erweiterten Endes (58, 158) zu erlauben, und daß das genannte andere Ende des Schafes sich sodann aufgrund der ihm innerwohnenden Elastizität im Hauptbereich der Führungsstange hinter dem erweiterten Ende zusammenzieht, so daß das erweiterte Ende am anderen Ende des Schafes anliegt.

8. Kombination nach einem der Ansprüche 1 bis 7, dadurch gekennzeichnet, daß der Schaft (202) aus einer Mehrzahl von dünnen Blechblättchen (220, 222, 224) gebildet ist, deren jedes einen bogennförmigen Querschnitt hat, und das benachbarte Blättchen überlappt, so daß die Blättchen gemeinsam eine Hülse bilden, welche im Durchmesser dadurch vergrößert werden kann, daß man die Blättchen im Sinne einer Verringerung der gegenseitigen Überlappung verschiebt.

9. Kombination nach Anspruch 8, dadurch gekennzeichnet, daß sich der eine Längsrand eines Blättchens auf der Innenseite eines benachbarten Blättchens befindet, und der Längsrand auf der Außenseite des oder eines benachbarten anderen Blättchens.

10. Kombination nach Anspruch 9, dadurch gekennzeichnet, daß sich die Blättchen verjüngen, so daß sie in zusammengebautem Zustand den konischen oder kegelstumpfförmigen Schaft bilden, der sich zum anderen Ende hin verjüngt,

so daß dann, wenn der Schaft durch Einführen der Dehnungshülse in das große Ende geöffnet wird, Enden der Blättchen am großen Ende sich in geringerem Maße alsdie anderen Enden der Blättchen öffnen.

#### Revendications

1. Combinaison d'un tube dilatable (10, 110, 202) pour l'insertion dans une cavité corporelle, et d'un tube dilatateur (163), le tube dilatable étant constitué d'une tige tubulaire conique ou partiellement conique (10, 110, 202) formée d'un matériau en feuille mince, au moins partiellement enroulé sur lui-même de telle sorte qu'il l'est libre il forme une configuration conique à laquelle il est contraint par l'élasticité du matériau, et le tube dilatateur (163) est dimensionné de telle sorte qu'il peut être inséré dans l'extrémité de plus grand diamètre du tube dilatable (10, 110, 202) ouvrant ainsi le tube dilatable depuis son état libre, en réduisant l'angle de cône et en augmentant le diamètre de l'autre extrémité.

2. Combinaison selon la revendication 1, caractérisé en ce que la tige est faite d'un métal en feuille qui est traité à chaud après l'enroulement pour lui donner l'élasticité voulue.

3. Combinaison selon la revendication 2, caractérisée en ce que la tige est faite en beryllium-cuivre.

4. Combinaison selon l'une quelconque des revendications 1 à 3, caractérisée en ce que l'extrémité de plus grand diamètre de la tige est serrée par adaptation de deux éléments tubulaires (38, 42; 138, 142; 200, 204) l'un à l'intérieur et l'autre à l'extérieur de l'extrémité de plus grand diamètre de la tige enroulée et fixation des deux éléments tubulaires ensemble.

5. Combinaison selon la revendication 4, caractérisée en ce que l'élément tubulaire qui s'adapte dans l'extrémité de la tige comporte une collerette (40, 140, 206) venant en butée sur la face externe d'une bride (18, 20, 226) prévue sur l'extrémité de la tige et l'élément tubulaire qui s'adapte sur la tige vient en contact avec la face opposée de la bride, un écrou (46, 146, 212) venant en butée avec l'extrémité extérieure de la collerette et venant en prise filetée avec l'élément tubulaire qui s'adapte sur la tige.

6. Combinaison selon l'une quelconque des

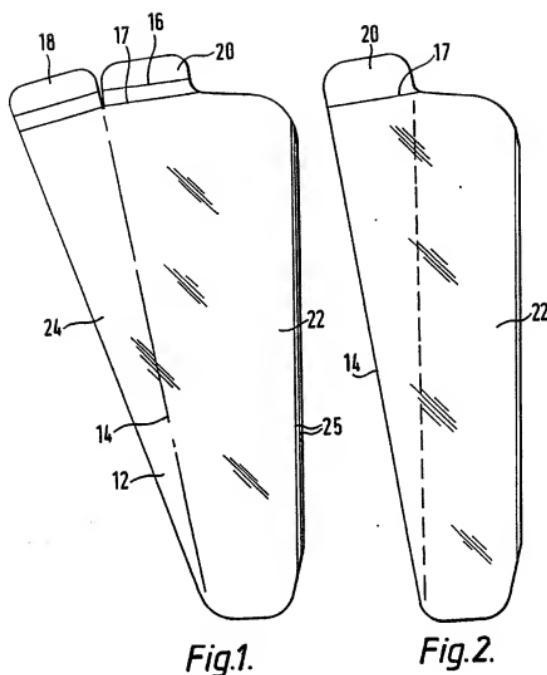
revendications 1 à 5, caractérisée en ce que l'angle de cône de la tige n'excède pas 10°.

7. Combinaison selon l'une quelconque des revendications 1 à 6, en combinaison avec la barrette de guidage (50, 150) adaptée à passer au travers du perçage de la tige à ladite autre extrémité de la tige et percée tout au long de sa longueur pour recevoir un fil de guidage destiné à passer au travers de la peau d'un patient jusqu'au site de la lésion, caractérisée en ce que le perçage de la tige du tube au niveau de sa dite autre extrémité, à l'état libre, est étroitement ajusté autour de la barrette de guidage (50, 150), la barrette de guidage comportant une extrémité distale (58, 158) légèrement agrandie et la disposition de la tige étant telle que le passage de l'extrémité distale agrandie de la barrette de guidage au travers de ladite autre extrémité de la tige provoque un léger déseんroulement de ladite autre extrémité pour permettre le passage de l'extrémité agrandie (58, 158), ladite autre extrémité de la tige se contractant ensuite sous l'effet de son élasticité inhérente sur la partie principale de la barrette de guidage derrière l'extrémité agrandie, de telle sorte que l'extrémité agrandie est positionnée contre ladite autre extrémité de la tige.

8. Combinaison selon l'une quelconque des revendications 1 à 7, caractérisée en ce que la tige (202) est formée d'une série de feuilles minces de matériau (220, 222, 224), dont chacune a une coupe transversale arquée, chaque feuille chevauchant la ou les feuilles adjacentes, de telle sorte que, ensemble, les feuilles forment un tube dont le diamètre peut être augmenté en déplaçant les feuilles pour réduire le chevauchement entre elles.

9. Combinaison selon la revendication 8, caractérisée en ce que chaque feuille comporte un bord longitudinal sur l'intérieur d'une feuille adjacente, et l'autre bord longitudinal sur l'extérieur de la ou d'une autre feuille adjacente.

10. Combinaison selon la revendication 9, caractérisée en ce que les feuilles s'effilent de telle sorte qu'à l'état assemblé elles forment la tige conique ou tronconique qui s'effile en direction de ladite autre extrémité, de telle sorte que la tige est ouverte par insertion du tube dilatateur dans l'extrémité de plus grand diamètre, les extrémités des feuilles à l'extrémité de plus grand diamètre s'ouvrant à un moindre degré que les autres, extrémités des feuilles.



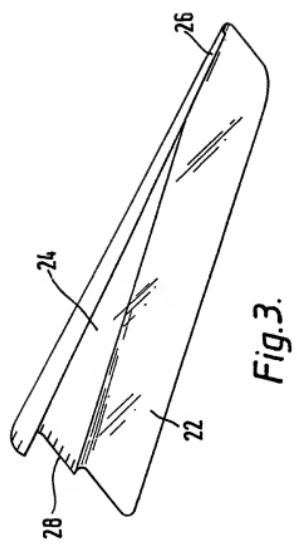


Fig.3.

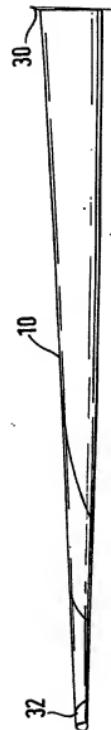


Fig.4.

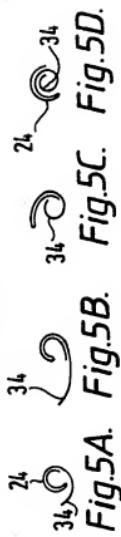


Fig.5A. Fig.5B. Fig.5C. Fig.5D.

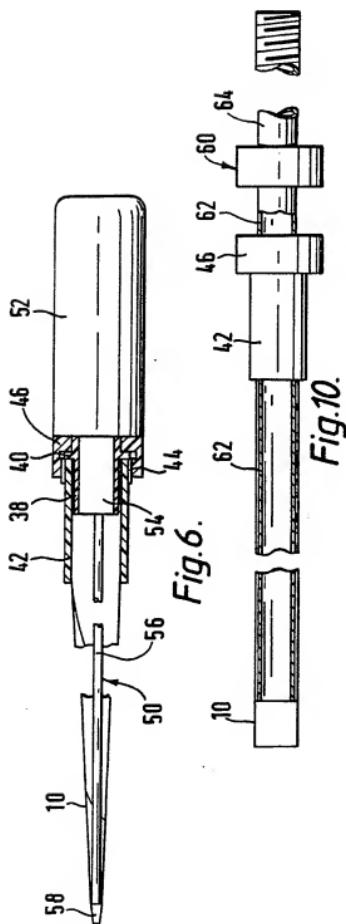


Fig.6. Fig.10.

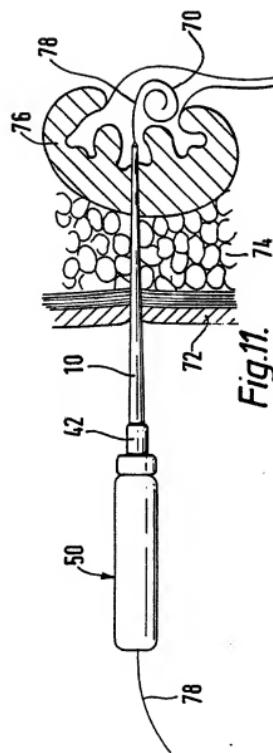


Fig.11.

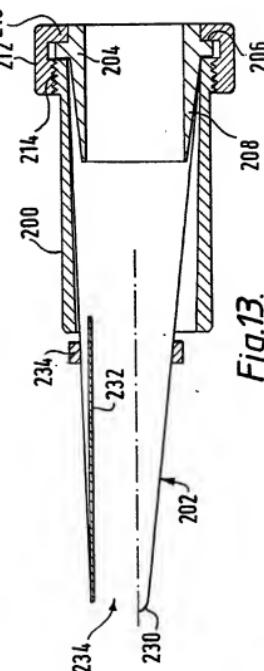
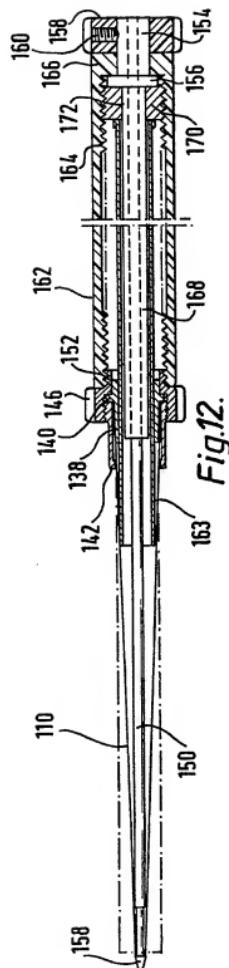


Fig.13.



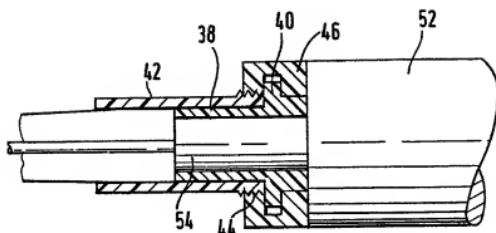


Fig.7.

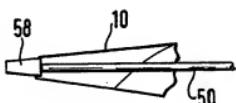


Fig.8.

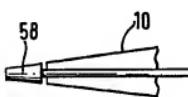


Fig.9.

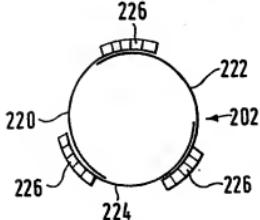


Fig.14.